

**EUROSAI**

**GOAL TEAM 4: GOVERNANCE & COMMUNICATION**

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**EUROSAI  
Strategic Plan  
2011-2017**

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**GOAL TEAM 4's**

**1<sup>st</sup> report to GB**

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**April 2012**

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## EUROSAI Goal Team 4's 1<sup>st</sup> Report

Reporting period: June 2011-April/May 2012

### Strategic Goal

#### 4: GOVERNANCE AND COMMUNICATION

### Structure of Goal Team 4 (GT4)

	SAIs
<b>CHAIR</b>	Portugal (current EUROSAI Presidency 2011-2014)
<b>MEMBERS</b>	Poland (outgoing EUROSAI Presidency) Netherlands (incoming EUROSAI Presidency) Spain (EUROSAI Secretariat) France (Chair of GT1) Germany (Chair of GT2) Czech Republic (Chair of GT3).
<b>INVITED EXPERTS</b>	Denmark United Kingdom INTOSAI Planning Director (IPD) INTOSAI Secretariat

#### GT4 Task Groups(TG):

	Areas	Lead SAI	Other TG Members
<b>TG1</b>	<b>Planning and Reporting</b>	GT4 Chair: Portugal	Netherlands, Spain, United Kingdom, IPD
<b>TG2</b>	<b>Liaison and good practices</b>	Poland	France, Germany, Czech Republic
<b>TG3</b>	<b>Training issues</b>	Czech Republic	Portugal, France, Spain
<b>TG4</b>	<b>Funding issues</b>	Spain	Portugal, France, Germany, Czech Republic, UK
<b>TG5</b>	<b>Communication and Sustainability</b>	Netherlands Poland	Spain
<b>TG6</b>	<b>EUROSAI website</b>	Spain	Netherlands, Czech Republic, Portugal, Denmark, INTOSAI Secretariat

## Main actions taken

1. Governance framework for the implementation of the EUROSAI Strategic Plan (SP):
  - Planning, Monitoring and Reporting Requirements (PMRR) were drafted
  - Guidance for preparing Goal Teams' Terms of Reference (ToR), Operational Plans (OP) and 1<sup>st</sup> Progress Reports was issued
  - Support to Goal Teams has been provided, identifying and addressing cross cutting issues
  - Progress reports and recommendations to GB were prepared
2. GT4's internal organisation:
  - GT4's Terms of Reference (ToR) were drafted
  - GT4's Operational Plan (OP) was completed
  - Task Groups were set up and began working
3. EUROSAI website:
  - The current EUROSAI website was updated and adapted to the SP
  - A mock-up for a new EUROSAI website was completed
4. EUROSAI communication policy:
  - Criteria and main assumptions for the communication policy are identified
5. Financial issues:
  - Review of existing EUROSAI financial provisions has started
  - A report on financial requests from the EUROSAI budget for the GB has been issued

## Main issues to consider, decide or follow-up

GT4 planned activities are meant to address several *cross cutting issues* already identified: planning and reporting requirements, website redesign, coordination of training, coordination of surveys, review of financial rules, preparation of requests for external funding, communication tools, clarification of external co-operation levels and promotion of sustainability. Those considered particularly in the current reporting period are:

- Whether EUROSAI's current financial rules remain fit-for-purpose under the new framework for activities established by the ESP (*review in progress*)
- How to fund major and/or multi annual projects (e.g. the new EUROSAI website) within the overall operational plans of EUROSAI (*review in progress*)
- The building of a collaboration platform (*for discussion*)
- How best to coordinate training within GTs under the ESP (*to be kept under consideration pending early/first year's experience*)
- Honouring external cooperation agreements (*to be implemented at technical level*)

GT4 will also identify and consider new horizontal issues as they arise.

## **Implementation Strategy n.º 4.1: “Ensure that EUROSAI has an organisational structure that best supports the achievement of the strategic goals”**

### **Results already achieved:**

#### **4.1.1- Agree on planning and reporting requirements (PMMR) and contents to provide a sound basis for activities 4.1.2 – 4.1.6**

- PMRR were already drafted and circulated

#### **4.1.2- Liaise with Goal Teams in agreeing ToR and OP**

- Guidance for GTs’ OP and ToR was issued
- Support to GT’s ToR and OP was provided and agreement on final drafts was reached

#### **4.1.3 - Analyse reports for Goals 1, 2, 3 and 4**

- Guidance to assist GTs in their first reporting exercise was issued
- 1<sup>st</sup> overall progress report on the ESP implementation was prepared

#### **4.1.4 Identify value and benefits of interaction within INTOSAI**

- Liaison with INTOSAI – Donor Cooperation via the EUROSAI Secretariat and with GT4 Chair participation identified opportunities and benefits of interaction.

#### **4.1.5 In the area of training**

- Financial applications requiring EUROSAI funding reviewed and submitted to GB approval
- Information about training events from GTs, WGs/TFs was collected

#### **4.1.6 Identify and address systemic (horizontal) issues**

- List of cross cutting issues was identified
- Cross cutting issues began to be addressed:
  - website related issues surveyed and addressed in the new website proposal
  - coordination of GT1-4’s surveys agreed
  - EUROSAI funding rules to be revised
  - external cooperation activities discussed and proposals being prepared
  - training and sustainability issues to be dealt with by GT4 task groups

### **Main expected results for the future:**

#### **4.1.2. Analyse reports for Goals 1, 2, 3 and 4 and 4.1.3. Analyse reports for Goals 1, 2, 3 and 4**

- Continued assistance to OPs updates and continued analysis and presentation of reports will be conducted

**4.1.4. Identify value and benefits of interaction within INTOSAI**

- Value and benefits of interaction within INTOSAI will be identified and assessed in coordination with GT3 and a report with suggestions to enhance it will be presented to the GB

**4.1.5 In the area of training**

- Further information on EUROSAI training events will be collected and disseminated
- Assessment on the need to review EUROSAI practical guide on how to run events will be made
- Feedback on training results will be compiled
- List of training experts will be prepared

**4.1.6 Identify and address systemic (horizontal) issues**

- Specific GT4 task groups will coordinate GTs surveys and publish their results in the EUROSAI website
- Training, communication, sustainability and website issues will also be dealt with by task groups.
- GT4 will continuously identify and address other cross cutting issues

## **Implementation Strategy n.º4.2: “Strengthen EUROSAI’s capacity to achieve its strategic goals”**

**Results already achieved:****4.2.1 Evaluate the achievements of the strategic goals and resources needed**

- 1<sup>st</sup> analysis of GT1-4 progress reports has been carried out and the requirements for the next evaluations have been defined
- Identification of funding needs is being worked out

**4.2.2 Strengthen EUROSAI’s financial situation**

- Review of funding principles and procedures has been initiated
- Liaison with EUROSAI Secretariat, and INTOSAI-Donor SC –in close connection with the EUROSAI Secretariat-has been maintained

**Main expected results for the future:****4.2.2 Strengthen EUROSAI’s financial situation**

- A new document containing EUROSAI funding principles and procedures will be drafted to be submitted to the GB
- Alternative financial resources will be studied

**4.2.3 Develop workable EUROSAI practices and criteria for events and project management**

- A survey to EUROSAI members on this subject will be launched jointly with GT3
- A list of best practices will be identified

**4.2.4 Support the EUROSAT Secretariat by staff secondments from EUROSAT Member SAI**

-A stocktaking of procedures will be prepared

## **Implementation Strategy n. 4.3: “Fostering effective internal and external communication to promote the accomplishment of EUROSAT’s strategic goals”**

### **Results already achieved:**

#### **4.3.1 Define and assess various communication mechanisms and tools**

- Communication mechanisms and tools currently used within the EUROSAT/INTOSAT community have been identified

#### **4.3.2 Develop and put into place an EUROSAT internal and external communication policy**

- Main assumptions of EUROSAT communication policy were drafted  
- Main internal communication areas to be improved were identified

#### **4.3.3 Evaluate and update EUROSAT related Websites**

- The needs, preferences and expectations of EUROSAT Members, Working Groups, Task Forces and Goal Teams towards the EUROSAT website have been surveyed and identified  
- Changes in the current website have been introduced  
- A mock up to redesign the EUROSAT website has been prepared and submitted to GB  
- A new Content Management concept is being proposed

### **Main expected results for the future:**

#### **4.3.2 Develop and put into place an EUROSAT internal and external communication policy**

-An EUROSAT communication policy will be drafted and submitted to the GB  
- Activities to improve communication will be detailed and implemented according to the approved policy

#### **4.3.3 Evaluate and update EUROSAT related Websites**

- A new EUROSAT website will be implemented  
- Routines to update and ensure dynamic use of the website will be set up  
- A communication platform may be developed  
- Articles about EUROSAT will be prepared and published

#### **4.3.4 Promote the use of EUROSAT publications and EUROSAT related websites**

-Possible improvements will be identified and implemented, in liaison with GT3

**4.3.5 Identify potential for reverse-benefit to wider SAI communities**

- Products and activities to be promoted within INTOSAI will be identified

**4.3.6 Encourage the organisation of EUROSAI events in a sustainable way**

- Technical capacities will be assessed
- Information on how to do it will be prepared and disseminated
- Encouragement to EUROSAI bodies will be conducted

**Cross cutting issues:****Surveys:**

- GT4 issued a survey on EUROSAI members' needs and expectations on the redesign of the website (4.3.3.1)
- Two of the planned surveys were merged with GT3's related surveys and one was given up
- Surveys are planned about communication improvements (2012), publications improvements (2013) and sustainability technical capacity (2013)
- GT4 set up a task group responsible for coordinating surveys, updating the respective list, checking for overlaps and adopting any other initiatives deemed necessary

**Funding from the EUROSAI Budget:**

- In 2012 and 2013 GT4 needs funding for designing and implementing the new EUROSAI website

**Use of the EUROSAI website:**

- Minutes of GT4's 1st and 2nd plenary meetings have been published
- GT4 Terms of Reference, Operational Plan, PMRR and reports will be published in the website when approved by the GB
- Several other GT4 activities will use the website facilities, such as the communication enhancement and the best practices' dissemination
- One of the most important activities of GT4 relates with the website: redesigning it and fostering its use.

**Interaction with INTOSAI groups:**

- The INTOSAI Planning Director and the INTOSAI Secretariat are invited experts in GT4 to liaise in planning and reporting issues and in the website and communication issues
- Other interactions may be involved where cooperation issues are at stake
- GT4 tries to channel interactions to the most appropriate team

**Reinforcement of cooperation with other organisations or partners:**

- GT4 plans to identify opportunities to enhance interaction and cooperation in liaison with GT3. Implementing activities will be defined later.
- Cooperation with other partners is a part of the GT4 agenda in order to identify the best body or team to implement it.

**EUROSAI or other publications:**

- Articles about EUROSAT Strategic Plan and GT4 have been submitted and published in the EUROSAT Newsletters numbers 50/2011 and 51/2011 and in the EUROSAT Magazine number 17/2011 and will be published also in the future
- GT4 intends to encourage the publication of information about EUROSAT in professional and social e-fora and networks for raising wider awareness among citizens

**Sustainability initiatives:**

- GT4 has included in its Operational Plan a specific activity to foster sustainability initiatives
- GT4 has worked a lot through e-mail, mainly within task groups
- Internet conferences will be used

**Date:** 24 April 2012

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