EUROSAI
Strategic Plan
2011-2017

GOAL TEAM 4’s

1st report to GB

April 2012
EUROSAI Goal Team 4’s 1\textsuperscript{st} Report

Reporting period: June 2011-April/May 2012

Strategic Goal

4: GOVERNANCE AND COMMUNICATION

Structure of Goal Team 4 (GT4)

<table>
<thead>
<tr>
<th>Areas</th>
<th>Lead SAI</th>
<th>Other TG Members</th>
</tr>
</thead>
<tbody>
<tr>
<td>TG1: Planning and Reporting</td>
<td>GT4 Chair: Portugal</td>
<td>Netherlands, Spain, United Kingdom, IPD</td>
</tr>
<tr>
<td>TG2: Liaison and good practices</td>
<td>Poland</td>
<td>France, Germany, Czech Republic</td>
</tr>
<tr>
<td>TG3: Training issues</td>
<td>Czech Republic</td>
<td>Portugal, France, Spain</td>
</tr>
<tr>
<td>TG4: Funding issues</td>
<td>Spain</td>
<td>Portugal, France, Germany, Czech Republic, UK</td>
</tr>
<tr>
<td>TG5: Communication and Sustainability</td>
<td>Netherlands, Poland</td>
<td>Spain</td>
</tr>
<tr>
<td>TG6: EUROSAI website</td>
<td>Spain</td>
<td>Netherlands, Czech Republic, Portugal, Denmark, INTOSAI Secretariat</td>
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Main actions taken

1. Governance framework for the implementation of the EUROSAI Strategic Plan (SP):
   - Planning, Monitoring and Reporting Requirements (PMRR) were drafted
   - Guidance for preparing Goal Teams’ Terms of Reference (ToR), Operational Plans (OP) and 1st Progress Reports was issued
   - Support to Goal Teams has been provided, identifying and addressing cross cutting issues
   - Progress reports and recommendations to GB were prepared

2. GT4’s internal organisation:
   - GT4’s Terms of Reference (ToR) were drafted
   - GT4’s Operational Plan (OP) was completed
   - Task Groups were set up and began working

3. EUROSAI website:
   - The current EUROSAI website was updated and adapted to the SP
   - A mock-up for a new EUROSAI website was completed

4. EUROSAI communication policy:
   - Criteria and main assumptions for the communication policy are identified

5. Financial issues:
   - Review of existing EUROSAI financial provisions has started
   - A report on financial requests from the EUROSAI budget for the GB has been issued

Main issues to consider, decide or follow-up

GT4 planned activities are meant to address several cross cutting issues already identified: planning and reporting requirements, website redesign, coordination of training, coordination of surveys, review of financial rules, preparation of requests for external funding, communication tools, clarification of external co-operation levels and promotion of sustainability. Those considered particularly in the current reporting period are:

- Whether EUROSAI’s current financial rules remain fit-for-purpose under the new framework for activities established by the ESP (review in progress)
- How to fund major and/or multi annual projects (e.g. the new EUROSAI website) within the overall operational plans of EUROSAI (review in progress)
- The building of a collaboration platform (for discussion)
- How best to coordinate training within GTs under the ESP (to be kept under consideration pending early/first year’s experience)
- Honouring external cooperation agreements (to be implemented at technical level)

GT4 will also identify and consider new horizontal issues as they arise.
Implementation Strategy n.º 4.1: “Ensure that EUROSAI has an organisational structure that best supports the achievement of the strategic goals”

Results already achieved:

4.1.1- Agree on planning and reporting requirements (PMMR) and contents to provide a sound basis for activities 4.1.2 – 4.1.6
- PMMR were already drafted and circulated

4.1.2- Liaise with Goal Teams in agreeing ToR and OP
- Guidance for GTs’ OP and ToR was issued
- Support to GT’s ToR and OP was provided and agreement on final drafts was reached

4.1.3 - Analyse reports for Goals 1, 2, 3 and 4
- Guidance to assist GTs in their first reporting exercise was issued
- 1st overall progress report on the ESP implementation was prepared

4.1.4 Identify value and benefits of interaction within INTOSAI
- Liaison with INTOSAI – Donor Cooperation via the EUROSAI Secretariat and with GT4 Chair participation identified opportunities and benefits of interaction.

4.1.5 In the area of training
- Financial applications requiring EUROSAI funding reviewed and submitted to GB approval
- Information about training events from GTs, WGs/TFs was collected

4.1.6 Identify and address systemic (horizontal) issues
- List of cross cutting issues was identified
- Cross cutting issues began to be addressed:
  - website related issues surveyed and addressed in the new website proposal
  - coordination of GT1-4’s surveys agreed
  - EUROSAI funding rules to be revised
  - external cooperation activities discussed and proposals being prepared
  - training and sustainability issues to be dealt with by GT4 task groups

Main expected results for the future:

4.1.2. Analyse reports for Goals 1, 2, 3 and 4
and 4.1.3. Analyse reports for Goals 1, 2, 3 and 4

- Continued assistance to OPs updates and continued analysis and presentation of reports will be conducted
4.1.4. Identify value and benefits of interaction within INTOSAI
- Value and benefits of interaction within INTOSAI will be identified and assessed in coordination with GT3 and a report with suggestions to enhance it will be presented to the GB

4.1.5 In the area of training
- Further information on EUROSAI training events will be collected and disseminated
- Assessment on the need to review EUROSAI practical guide on how to run events will be made
- Feedback on training results will be compiled
- List of training experts will be prepared

4.1.6 Identify and address systemic (horizontal) issues
- Specific GT4 task groups will coordinate GTs surveys and publish their results in the EUROSAI website
- Training, communication, sustainability and website issues will also be dealt with by task groups.
- GT4 will continuously identify and address other cross cutting issues

Implementation Strategy n.º 4.2: “Strengthen EUROSAI’s capacity to achieve its strategic goals”

Results already achieved:
4.2.1 Evaluate the achievements of the strategic goals and resources needed
- 1st analysis of GT1-4 progress reports has been carried out and the requirements for the next evaluations have been defined
- Identification of funding needs is being worked out

4.2.2 Strengthen EUROSAI’s financial situation
- Review of funding principles and procedures has been initiated
- Liaison with EUROSAI Secretariat, and INTOSAI-Donor SC—in close connection with the EUROSAI Secretariat—has been maintained

Main expected results for the future:
4.2.2 Strengthen EUROSAI’s financial situation
- A new document containing EUROSAI funding principles and procedures will be drafted to be submitted to the GB
- Alternative financial resources will be studied

4.2.3 Develop workable EUROSAI practices and criteria for events and project management
- A survey to EUROSAI members on this subject will be launched jointly with GT3
- A list of best practices will be identified
4.2.4 Support the EUROSAI Secretariat by staff secondments from EUROSAI Member SAI
-A stocktaking of procedures will be prepared

**Implementation Strategy n. 4.3: “Fostering effective internal and external communication to promote the accomplishment of EUROSAI’s strategic goals”**

**Results already achieved:**

4.3.1 Define and assess various communication mechanisms and tools
- Communication mechanisms and tools currently used within the EUROSAI/INTOSAI community have been identified

4.3.2 Develop and put into place an EUROSAI internal and external communication policy
- Main assumptions of EUROSAI communication policy were drafted
- Main internal communication areas to be improved were identified

4.3.3 Evaluate and update EUROSAI related Websites
- The needs, preferences and expectations of EUROSAI Members, Working Groups, Task Forces and Goal Teams towards the EUROSAI website have been surveyed and identified
- Changes in the current website have been introduced
- A mock up to redesign the EUROSAI website has been prepared and submitted to GB
- A new Content Management concept is being proposed

**Main expected results for the future:**

4.3.2 Develop and put into place an EUROSAI internal and external communication policy
- An EUROSAI communication policy will be drafted and submitted to the GB
- Activities to improve communication will be detailed and implemented according to the approved policy

4.3.3 Evaluate and update EUROSAI related Websites
- A new EUROSAI website will be implemented
- Routines to update and ensure dynamic use of the website will be set up
- A communication platform may be developed
- Articles about EUROSAI will be prepared and published

4.3.4 Promote the use of EUROSAI publications and EUROSAI related websites
- Possible improvements will be identified and implemented, in liaison with GT3
4.3.5 Identify potential for reverse-benefit to wider SAI communities
- Products and activities to be promoted within INTOSAI will be identified

4.3.6 Encourage the organisation of EUROSAI events in a sustainable way
- Technical capacities will be assessed
- Information on how to do it will be prepared and disseminated
- Encouragement to EUROSAI bodies will be conducted

Cross cutting issues:

Surveys:
- GT4 issued a survey on EUROSAI members’ needs and expectations on the redesign of the website (4.3.3.1)
- Two of the planned surveys were merged with GT3’s related surveys and one was given up
- Surveys are planned about communication improvements (2012), publications improvements (2013) and sustainability technical capacity (2013)
- GT4 set up a task group responsible for coordinating surveys, updating the respective list, checking for overlaps and adopting any other initiatives deemed necessary

Funding from the EUROSAI Budget:
- In 2012 and 2013 GT4 needs funding for designing and implementing the new EUROSAI website

Use of the EUROSAI website:
- Minutes of GT4’s 1st and 2nd plenary meetings have been published
- GT4 Terms of Reference, Operational Plan, PMRR and reports will be published in the website when approved by the GB
- Several other GT4 activities will use the website facilities, such as the communication enhancement and the best practices’ dissemination
- One of the most important activities of GT4 relates with the website: redesigning it and fostering its use.

Interaction with INTOSAI groups:
- The INTOSAI Planning Director and the INTOSAI Secretariat are invited experts in GT4 to liaise in planning and reporting issues and in the website and communication issues
- Other interactions may be involved where cooperation issues are at stake
- GT4 tries to channel interactions to the most appropriate team

Reinforcement of cooperation with other organisations or partners:
- GT4 plans to identify opportunities to enhance interaction and cooperation in liaison with GT3. Implementing activities will be defined later.
- Cooperation with other partners is a part of the GT4 agenda in order to identify the best body or team to implement it.

EUROSAI or other publications:
- Articles about EUROSAl Strategic Plan and GT4 have been submitted and published in the EUROSAl Newsletters numbers 50/2011 and 51/2011 and in the EUROSAl Magazine number 17/2011 and will be published also in the future
- GT4 intends to encourage the publication of information about EUROSAl in professional and social e-fora and networks for raising wider awareness among citizens

**Sustainability initiatives:**
- GT4 has included in its Operational Plan a specific activity to foster sustainability initiatives
- GT4 has worked a lot through e-mail, mainly within task groups
- Internet conferences will be used

**Date:** 24 April 2012

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