## Current Operational Plan – 9th meeting, April 11-12, 2016 - After the meeting

### GT1’s Operational Plan

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<tr>
<th>Strategic goal</th>
<th>Key projects</th>
<th>Simplified n°</th>
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<th>Country</th>
<th>Year</th>
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<tbody>
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<td></td>
<td>1.1 Establish conditions ensuring maximum use of available data, products and experience of others engaged in the field of capacity building</td>
<td></td>
<td>1</td>
<td>1.1.1 Identify relevant information available on the websites of international organizations and propose a typology/map; Compose all the collected information into a database and evaluate its homogeneity and usefulness (in liaison with GT2)</td>
<td>Complete the first draft list with link towards CBC and other regional groups’ CBC</td>
<td>Latvia</td>
<td>2013</td>
<td>Finished and published</td>
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<td></td>
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<td>1</td>
<td>1.1.1.1</td>
<td>Complete the first draft list with link towards CBC and other regional groups’ CBC</td>
<td>Complete the first draft list with link towards CBC and other regional groups’ CBC</td>
<td>Latvia</td>
<td>2013</td>
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<td>2</td>
<td>1.1.1.2</td>
<td>Make a proposal on a watch/warning system to identify new doc and promote their dissemination, e-booklet in 2017</td>
<td>Make a proposal on a watch/warning system to identify new doc and promote their dissemination, e-booklet in 2017</td>
<td>Latvia</td>
<td>/</td>
<td>Permanent watch</td>
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<td></td>
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<td>3</td>
<td>1.1.2.1</td>
<td>Permanent liaison</td>
<td>Spain (EUROSAI Secretariat liaison)</td>
<td>Spain</td>
<td>/</td>
<td>Permanent watch</td>
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<td>4</td>
<td>1.1.2.2</td>
<td>Develop ideas on how to liaise with IDI in order to help for the next workshops organised within the framework of the Global call of proposals</td>
<td>Develop ideas on how to liaise with IDI in order to help for the next workshops organised within the framework of the Global call of proposals</td>
<td>Spain</td>
<td>/</td>
<td>Permanent watch</td>
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<td><strong>Steering Committee</strong></td>
<td>5</td>
<td>1.1.2.3</td>
<td>Promote knowledge of IDI and INTOSAI WGVBS tools, notably the Capacity development database, the new call of proposals and PMF</td>
<td>Identify possible ways of IDI offering training seminars (for ISSAI facilitators, for help to draft proposals etc.) within non eligible SAIS on a cost-recovery basis</td>
<td>France</td>
<td>/</td>
<td>Permanent watch (new mandate of IDI including the idea to “increase the outreach of its activities by providing CB support on cost-recovery basis”)</td>
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<td><strong>1.1.3 Identify examples of regional good practices in capacity building within EUROSAI and ensure that these are communicated to INTOSAI, particularly the CBC, for wider sharing</strong></td>
<td>6</td>
<td>1.1.3.1</td>
<td>Identify good practices in the capacity building relevant area from EUROSAI SAIs, disseminating and raising awareness among the EUROSAI members of the good practices identified and ensure that these are communicated to INTOSAI</td>
<td>Make a first draft paper on EUROSAI GT1’s success stories about CB</td>
<td>Sweden</td>
<td>2014</td>
<td>Completed in 2014 Available Spring 2014</td>
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<td>Organise a workshop in The Hague 2014</td>
<td>Portugal, Turkey</td>
<td>2016</td>
<td>Finished / Available on GT1 website</td>
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<td>Identification of SAIs that certify/gives opinion on the entity-based financial statements and/or consolidated financial statements of General Government/Central Government State Account Booklet with benchmark analysis</td>
<td>Portugal, Turkey</td>
<td>2017</td>
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Notes:
- Completed in 2014
- Available Spring 2014
- Finished / Available on GT1 website
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<td>7</td>
<td>1.1.3.2</td>
<td>Inform the EUROSAI members about the Best Practices on EUROSAI Audit Quality database operated by State Audit Office of Hungary (<a href="http://www.asz.hu/en/good-practices">http://www.asz.hu/en/good-practices</a>), and invite them to share some examples of their good practices especially in connection with capacity building.</td>
<td>New e-mail to all EUROSAI members underlining the existence, functioning and usefulness of the database Coordination with UK initiative on innovation Identification of the good practices on ethics guidance, management and control done in cooperation with the TFA&amp;E Authorizations and / or commitment to introduce data in the Audit Quality database obtained through the TFA&amp;E Permanent feeding and update of the database on audit quality obtained</td>
<td>Hungary</td>
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<td>Permanent watch</td>
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<td>Portugal</td>
<td>2016</td>
<td>Permanent watch</td>
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<td>Hungary</td>
<td>2016</td>
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<td>Portugal</td>
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<td><strong>8</strong></td>
<td><strong>1.1.3.3</strong></td>
<td>Define a scheme on the strategic articulation between CB success factors</td>
<td>Building on CB success stories paper, imagine a scheme/map</td>
<td>France</td>
<td>2014</td>
<td>Draft 2(^{nd}) semester 2014 Finished</td>
</tr>
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<td><strong>1.1.4 Capture and feedback to EUROSAI members examples of good practice emerging from the work of INTOSAI CBC and other capacity bodies of INTOSAI</strong></td>
<td></td>
<td><strong>9</strong></td>
<td><strong>1.1.4.1</strong></td>
<td>Monitor the development of the Performance Measurement Framework, carried out by INTOSAI WGVBS/IDI</td>
<td>Report to the next meeting on the PMF process and on how EUROSAI specifically can provide input into it</td>
<td>Portugal</td>
<td>/</td>
<td>Permanent watch until 2016 (INCOSAI) merged into task 5</td>
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<td><strong>10</strong></td>
<td><strong>1.1.4.2</strong></td>
<td>Establish cooperation with INTOSAI regions within the framework of the INTOSAI CBC, for the purpose of promoting exchange of experience and collaboration</td>
<td>GT1 participates regularly in meetings and discussions between regions, initiated by the CBC, and engages in cooperation or exchange of experience with other INTOSAI regions.</td>
<td>Sweden, Hungary</td>
<td>/</td>
<td>Permanent watch</td>
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<td><strong>11</strong></td>
<td><strong>1.1.4.3</strong></td>
<td>Participate in the seminar to be organized by INTOSAI CBC Chair, next Autumn, for current and potential SAI technical support providers</td>
<td>Sweden, UK and France attended the SAI Supply side seminar. France and UK reported to GT1</td>
<td>Sweden</td>
<td>2012</td>
<td>Completed in 2012 Finished</td>
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<td><strong>12</strong></td>
<td><strong>1.1.4.4</strong></td>
<td>Permanent liaison with IDI and CBC works</td>
<td>Liaison with IDI CBC</td>
<td>Sweden</td>
<td>/</td>
<td>Permanent watch</td>
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<td>1.2 Establish the operational framework for facilitating capacity building development</td>
<td>1.2.1 Collect information and identify capacity building needs of EUROSAI members, including curriculums and models for professional qualifications of staff, and taking into account information from available sources (e.g. IDI)</td>
<td>13</td>
<td>1.2.1.1</td>
<td>Building on the existing information collected by IDI Global Survey raw data to identify the possible needs and gaps within EUROSAI</td>
<td>Identify needs and gaps building on the IDI Global Survey</td>
<td>Georgia</td>
<td>2017</td>
<td>Report on this issue can be made using the answers given to IDI Global Survey before the Xth Congress</td>
</tr>
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<td>1.2.2 Identify groups of SAIs which share interest in specific aspects of capacity building taking into account information from available sources</td>
<td>1.2.2.1 Analyze and club SAIs with similar capacity levels, identify weak and strong areas of individual SAIs and spot common challenges</td>
<td>14</td>
<td>1.2.2.1</td>
<td>Building on the identified gaps and need, propose a method for clubbing or associating similar capacity level providers or receivers</td>
<td>Building on the identified gaps and need, propose a method for clubbing or associating similar capacity level providers or receivers</td>
<td>Georgia</td>
<td>2017</td>
<td>Report on this issue can be made using the answers given to IDI Global Survey before the Xth Congress</td>
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<td>1.2.3 Make use of the opportunities offered by information technology; support e-learning capacity of member SAIs, explore potential for electronic network of SAI training, develop capacity for interactive information exchange (realtime/online), e.g. by means of expert blogs and forums or teleconferences, promote the use of EUROSAI website, use INTOSAI/EUROSAI electronic communication tools e.g. INTOSAI Collaboration Tool</td>
<td>15</td>
<td>1.2.3.1</td>
<td>Work with EUROSAI members to identify what modules already exist on e-learning within SAIs, IDI (putting aside technical aspects)</td>
<td>Report to GT1 group on this first identification of the existing tools’ task and propose a way forward and promote the use of IDI E-Learning modules</td>
<td>Romania</td>
<td>2015</td>
<td>2015 Extend the survey outside GT1 to all EUROSAI countries and IDI</td>
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<td>16</td>
<td>1.2.3.2</td>
<td>Transmit to GT4 EUROSAI Team ideas on website</td>
<td>Report to GT1 group on progress made on the website design</td>
<td>All GT1 members coordinator: Romania</td>
<td>/</td>
<td>Permanent watch</td>
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<td>17</td>
<td>1.2.3.3</td>
<td>Collection, elaboration, uploading of GT1 information on the new EUROSAI website</td>
<td>Upload new operational plan and GT1 section update, news and calendar</td>
<td>Chair</td>
<td>/</td>
<td>Permanent watch</td>
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<td>18</td>
<td>1.2.3.4</td>
<td>Ensure the raising awareness on relevance, role and opportunities and needs of SAIs, as well as practical help on how to proceed with open data</td>
<td>Regular report to GT1 Meeting on the new developments</td>
<td>the Netherlands, Hungary</td>
<td>/</td>
<td>Permanent watch (recategorized from Independence)</td>
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<td>1.2.4 Promote innovation in EUROSAI</td>
<td>19</td>
<td>1.2.4.1</td>
<td>Ask to all SAIs to list up to 3 things they have done which they believe to be innovative to produce an annual note capturing European SAI self-reported innovations</td>
<td>Disseminate the result of the short survey throughout GT1 and EUROSAI</td>
<td>United Kingdom</td>
<td>2015</td>
<td>/</td>
<td>Volume 1 &amp; 2 &amp; 3 are published Available on GT1 website Volume 4 is planned in 2016</td>
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<td>1.3 Facilitate and support the</td>
<td>20</td>
<td>1.2.4.2</td>
<td>New ideas on promotion of innovative approaches</td>
<td>Introducing good examples related to municipality audit (e.g., CLF method or other issues) via trainings/seminars that answer the main message (Innovation) of the IX EUROSAI Congress</td>
<td>Lithuania, Hungary</td>
<td>2016</td>
<td>2015 - 2016 Seminar in Warsaw – October 2015 Seminar planned: Vilnius – September 2016</td>
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<td>1.3.1 Strengthen the coordination and promotion of development cooperation with specific focus on the EUROSAI members who are most in need including bilateral support to member SAIs</td>
<td>21</td>
<td>1.3.1.1</td>
<td>Ensuring a correct articulation with GT3 works on training needs</td>
<td>Collect information from GT3 and transmit information to GT3 on training needs</td>
<td>Portugal</td>
<td>/</td>
<td>Permanent watch</td>
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<td>Development of strategies for capacity building at SAI level</td>
<td>1.3.2 Encourage SAI s wishing to undergo peer review to rely on the INTOSAI CBC Peer Review Framework</td>
<td>22</td>
<td>1.3.2.1</td>
<td>Contribute to disseminate the Peer Review Guide of INTOSAI to all EUROSAI members, in cooperation with Subcommittee 3 – on Peer Reviews INTOSAI CBC</td>
<td>Done</td>
<td>Slovakia</td>
<td>2012</td>
<td>Completed in 2012</td>
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<td>23</td>
<td>1.3.2.2</td>
<td>Being actively involved in Subcommittee 3 – on Peer Reviews INTOSAI CBC to analyse the usefulness of the Guide for the European SAIs and provide inputs and feedbacks</td>
<td>Regularly report to GT1 on progress made by CBC Subcommittee</td>
<td>Slovakia</td>
<td>/</td>
<td>Permanent watch</td>
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<td>1.4 Take active steps to strengthen and support SAI independence</td>
<td>1.4.1 Encourage periodic self-assessment for compliance with the provisions of the Declarations of Lima and Mexico on SAI s’ independence using available tools e.g. from INTOSAI Working Group on Values and Benefits</td>
<td>24</td>
<td>1.4.1.1</td>
<td>Identify and collect all possible criteria and results from past surveys (IDI, Sweden…) on independence, circulating a further questionnaire to get an overview of the status and needs in the EUROSAI community</td>
<td>Circulating the draft questionnaire and analyzing it Checking the match with PMF’s criteria</td>
<td>Hungary + France</td>
<td>2013</td>
<td>Completed in 2013 Finished Available on GT1 website</td>
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<td>25</td>
<td>1.4.1.3</td>
<td>Promote the use of SAI PMF pilots, ethical and other integrity based self-assessment tools by informing EUROSAI members about it (such as disseminate information, operating website, workshop)</td>
<td>Report to the next GT1 meeting on the evolution and way forward</td>
<td>The Netherlands Hungary Portugal</td>
<td>/</td>
<td>Permanent watch ISSAI 30 is under review</td>
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<td>1.4.2 Promote and organise joint activities with national and/or</td>
<td>26</td>
<td>1.4.2.1</td>
<td>Encourage dissemination/implementation of the EUROSAI Statement of independence of SAI s, the UN General Assembly Resolutions (adopted in 2011</td>
<td>Partly done : for ex Spain for Governing Board, Austria in INTOSAI donors –steering</td>
<td>Austria</td>
<td>/</td>
<td>Permanent watch</td>
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<td>regional stakeholders to raise awareness of the value and benefits of SAIs, their role and of the importance of SAIs’ independence</td>
<td>27</td>
<td>1.4.2.2</td>
<td>Value the diversity of SAI models and independence by creating a booklet + hosting a workshop where each member explains the value and benefits of different EUROSAI models</td>
<td>Findings analysis and booklet compilation</td>
<td>Hungary</td>
<td>2014</td>
<td>Completed in 2014 Finished</td>
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<td>and 2014) referring to the work of INTOSAI and the Lima and Mexico Declarations within the EUROSAI Community, according to the background information collected under task 1</td>
<td>committee, France in AISCCUF seminar (Libreville) Regularly report on new developments</td>
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<td>28</td>
<td>1.4.2.3.</td>
<td>Developing possible activities to ensure that all EUROSAI members have access to new methods and techniques that may help them in ‘delivering their message’</td>
<td>To be discussed during the 8th meeting</td>
<td>Latvia</td>
<td>2017</td>
<td>2017 Booklet / Seminar (workshop)</td>
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