

EUROSAI

GOAL TEAM 4: GOVERNANCE & COMMUNICATION

EUROSAI Strategic Plan 2011-2017

Doc. GT4/15

STRATEGIC PLAN MID TERM
REVIEW PROCEDURE

May 2013

Goal Team Chair

Portugal

Goal Team Members:

Czech Republic, *Chair of Goal Team 3*

France, *Chair of Goal Team 1*

Germany, *Chair of Goal Team 2*

Netherlands, *Incoming EUROSAI Presidency*

Poland, *Outgoing EUROSAI Presidency*

Spain, *EUROSAI Secretariat*

Invited Experts:

Denmark

United Kingdom

INTOSAI Director of Strategic Planning

INTOSAI Secretariat

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I- INTRODUCTION

1. The EUROSAI Strategic Plan (**ESP or SP**) covers the years 2011-2017, i.e. the time span between the congresses held in 2011 and in 2017, thus providing enough time to carry out the more complex activities and projects (cf. ESP, page 7).

2. Goal Teams (**GT**) drafted their operational plans for the full period of the ESP (*ibidem*).

3. According to the ESP, the implementation of the approved operational plans and the strategic plan as a whole will be evaluated at regular intervals, including a mid-term review towards the end of the first Congress period in 2014 (*ibidem*).

4. Based on this evaluation, the IX EUROSAI Congress 2014 may decide to update the SP for the second period 2014-2017, and to reassess and reallocate the resources in the EUROSAI budget (cf. ESP, page 7).

5. For this purpose, **actions shall be launched** in time to consult the Governing Board (GB) at its meeting one year before the aforementioned Congress (cf. PMRR, point III.6). This meeting of the GB will take place in May 2013, Brussels.

6. According to the GT4 Working Plan 2012-2013, in the meeting to be held next April GT4 should agree on the procedure for the Strategic Plan mid-term review, in order to submit it to the GB in May.

7. The mentioned procedure should describe:

- The *evaluation* to be conducted
- The *scope* of the mid-term ESP review
- The *criteria* for reviewing the ESP
- The *participative process* to be followed
- The *reporting* requirements

II- EVALUATION

8. The basis for the ESP midterm review is an *evaluation* on the results of the SP implementation.

9. This evaluation shall focus on assessing the global achievement of the four strategic goals of EUROSAI. For this, the performance will be assessed against the outcomes and performance measures set in the SP.

10. The assessment shall also identify the main systemic issues impacting effective delivery of the SP and the possible actions to address them.

11. A global evaluation of cross cutting issues, namely funding, training, cooperation, communication and sustainability issues, will be included.

12. Furthermore, considering that the ESP was the first instrument of this kind to be introduced in EUROSAI and that a new structure of bodies to implement the SP faced the challenge of making it operational, the consistency between the SP and the instruments to implement it shall also be evaluated.

III- SCOPE

13. The *scope* of the ESP review may include:

- (a) Key activities/projects¹
- (b) Outcomes and Performance measures

14. The items referred to may be subject to the following changes:

- (a) Modification
- (b) Deletion
- (c) Replacement
- (d) Addition

¹ The change on key activities/projects shall be kept to a minimum and carried out only when strictly necessary

IV- CRITERIA

15. The changes proposed to the ESP under the defined scope can be based on one or more of the following reasons:

- (a) Insufficient or inadequate description in the original plan
- (b) Overlapping of activities within EUROSAI Goal Teams
- (c) Duplication of ESP activities against those carried out by other international institutions
- (d) Projects and activities considered as outdated or obsolete
- (e) Lack of resources
- (f) In case of new activities, existence of enough capacity to develop them without compromising the completion of the already programmed ones

V- PROCEDURE

16. The evaluation and the proposal on the ESP mid-term review shall be prepared by EUROSAI Goal Team 4 (GT4).

17. To prepare the mid-term review proposal, GT4 shall start by consulting Working Groups, Task Forces, Committees and Goal Teams, in an open way, about issues mentioned in items 13, 14 and 15.

18. The evaluation mentioned in part II will follow as far as adequate the framework set in Annex IV of the Planning, Monitoring and Reporting Requirements (PMRR) and the guidance there included.

19. GT4 will send a preliminary draft of the mid-term review report to the Governing Board (GB) for comments.

20. To make that possible, and if necessary, GT4 will agree with the other EUROSAI bodies the anticipation of the usual reporting timeframes.

21. GT4 will then prepare and present the mid-term review report to the GB, who shall submit it to the IX EUROSAI Congress.

VI- MID-TERM REVIEW REPORT

22. In what concerns the evaluation part, the mid-term review report shall follow provisions laid down in part VII of the PMRR and its Annex IV.

23. Additionally, the report will also include the proposals for the update of the ESP, the justification for them and the correspondent reallocation of resources, if needed.

24. The report will be headed by an executive summary.

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Contact person (Country/Name): Portugal/Helena Abreu Lopes