EUROSAI
GOAL TEAM 1: CAPACITY BUILDING

8th meeting

Budapest, 29 - 30 October, 2015

State Audit Office of Hungary

Statement of decisions

Chaired by Péter Dankó – Head of Department State Audit Office of Hungary (SAO)

I.   General issues

I.1. Opening of the meeting (President of the SAO, Chair)

Mr László Domokos welcomed the participants and gave information about events that have happened and products that were published since the 7th meeting.

More details on the opening speech:

http://www.aszhirportal.hu/en/international-relations/capacity-building-in-eurosai

I.2. Adoption of the agenda and summary of agreements of the 7th meeting

Agenda was approved. Representative of Secretariat initiated a slight change in the summary of agreements of the 7th meeting that was approved by the Members. Chair uploaded the modified version on the Capacity Building section of the EUROSAI website after the meeting:

http://www.eurosai.org/handle404?exporturi=/export/sites/eurosai/.content/documents/strategic-plan/goal-team-1/statement-of-decision-of-the-7th-meeting-of-GT1_to-be-sent_modified.pdf

List of participant is attached to the Summary (item 1).[1]

I.3. INTOSAI Capacity Building Committee issues - White paper

Chair gave information about the latest CBC meeting.

More information available on EUROSAI Website:

http://www.eurosai.org/en/calendar-and-news/news/INTOSAI-CBC-held-its-annual-meeting-in-Stockholm-in-September-2015/

Representative of CBC presented about White paper. Presentation added to the summary. (item 2)

More information on the Workshop on INTOSAI Competency Framework for auditors:

http://www.eurosai.org/en/calendar-and-news/news/Results-of-Workshop-on-INTOSAI-Competency-Framework-for-Auditors/

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[1] Attachments are available for GT1 members.

Representative of the SAI of Romania expressed their doubts about the concept of the White paper. The questions and doubts posed by Romania are attached to the summary of agreements. (item 3)

I.4. Global survey conducted by IDI

Representative of IDI presented on IDI Global Survey. Presentation added to the summary. (item 4)

Global survey is available at http://www.idi.no/Artikkel.aspx?AId=1157&back=1&MId1=44.

Global Survey has great impact on tasks 13 and 14 of GT1 Operational Plan. (see agenda point II.2.)

I.5. EUROSAI Presidency issues

Representative of the SAI of the Netherlands (EUROSAI presidency) gave presentation on Presidency issues. Presentation added. (item 5)

I.6. EUROSAI Website, EUROSAI publications, EUROSAI budget

Representative of Spain (as EUROSAI Secretariat) presented on the EUROSAI Website, publications, budget and the 25th anniversary of EUROSAI. Presentation added to the Summary. (item 6&7)

Secretariat called the attention of members regarding setting GT1 criteria to assess financial requests. Members discussed it and agreed that since GT1 does not receive many financial requests there is no need of setting those criteria for the time being.

 Document is attached to the summary.

I.7. Introduction of ITWG

Representative of Poland (Chair of ITWG) introduced briefly ITWG. (related to Agenda point II.4. Task 29 Open data). Presentation added to the summary. (item 8)

I.8. Evaluation of the current Strategic plan period and proposals for the next period

A kind of "stocktaking exercise" was conducted regarding the past 6 years of operation of GT1, collecting members' experience regarding the operation of GT1 starting from the beginning of the strategic period and ending with the final execution of the operational plan.

the result of the stocktaking exercise is attached to the summary. (item 9)

II.   Review of ongoing activities of the Operational Plan

Operational plan under review version is attached to the Summary. (item 10)

II.1 Available data and products

- Task 1 (1.1.1.1)[2] and Task 2 (1.1.1.2) – Available data and products (Latvia)
  Database is available on GT1 website. Task 1 is finished. Latvian presentation is added to the summary. (item 11)
  Database is reviewed twice a year – whether the links are still working, there are new reliable content.

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[2] According to the decision of the 6th meeting, the completed tasks are not deleted from the OP. They are indicated with grey background.

Chair gave a presentation about this database during the CBC annual meeting in September 2015 in Stockholm. Presentation available at

https://prezi.com/gfvst2wdg9ta/database-of-relevant-information-on-capacity-building/

Portugal presentation is added on a new proposal on certification of accounts. (item 12)

- Task 3 (1.1.2.1) – Keeping touch with IDI and INTOSAI (Spain) – permanent watch
- Task 4 (1.1.2.2) – Support to proposals for funding (Spain) – permanent watch
- Task 5 (1.1.2.3) – Promote the knowledge of IDI tools (France)
  French presentation is added to the Summary. (item 13)
- Task 7 (1.1.3.2) – Good practice database (Hungary)
  Hungarian presentation is added to the Summary. (item 14) SAIs are encouraged to upload their good practices.
  http://www.asz.hu/en/good-practices
- Task 9 (1.1.4.1) – Development of the SAI PMF (Portugal)
  Portuguese presentation is added to the summary. (item 15)
- Tasks 10 & 12 (1.1.4.2) (1.1.4.4) – Cooperation with IDI regions, liaison with IDI and CBC works (Chair)
  The SAO of Hungary participated in CBC meeting in Stockholm (September 2015) as well as IDI-CBC workshop on White paper in Oslo (June 2015). Articles are available on EUROSAI website:
  http://www.eurosai.org/en/calendar-and-news/news/INTOSAI-CBC-held-its-annual-meeting-in-Stockholm-in-September-2015/
  http://www.eurosai.org/en/calendar-and-news/news/Results-of-Workshop-on-INTOSAI-Competency-Framework-for-Auditors/

II.2. Identify needs and innovations

- Tasks 13 &14 (1.2.2.1-1.2.2.2) – Possible needs and gaps (Georgia, Chair, IDI)
  Global survey has a great impact on GT1 Operational Plan in this respect.
  Questionnaire of Global survey contained several questions on gaps and needs of SAI. There is no need for a new survey in the EUROSAI Community.
  Considering IDI Global Survey, Chair initiated changes in the Operational Plan with the agreement of IDI and the responsible SAI (Georgia)
- Task 15 (1.2.3.1) – E-Learning modules within EUROSAI (Romania)
  Document is added to the summary. (item 16)
- Task 16 (1.2.3.2) – Ideas on EUROSAI website (Romania, Chair, Spain) – permanent watch
- Task 17 (1.2.3.3) – Uploading of GT1 information on new EUROSAI website (Chair, Spain) – permanent watch
  New relevant documents are continuously uploaded on the Capacity Building section of the EUROSAI website.
- Task 18 (1.2.4.1) – Series of innovation booklets (UK)
  Presentation is added to the summary. (item 17)
  Volume 1, 2, and 3 are available on the Capacity Building section of the EUROSAI website.
  Volume 4 is to be published in 2016 that will be the final volume assembled by NAO.
- Task 19 (1.2.4.2) – Municipality audit (Lithuania)
  Lithuania gave information about seminar held on 5-7 October 2015, organized by the SAI of Lithuania and hosted by Poland.
  The next seminar on municipality audit will be held in the second half 2016. More information will be given during the 9th GT1 meeting.

II.3. Development of strategies

- Task 20 (1.3.1.1) – Articulation with GT3 works on training needs, training events (Portugal)
  The SAI of Czech Republic (as GT3 chair) could not attend the meeting. Presentation of the Portuguese participant is attached to the summary. (item 18)

- Task 22 (1.3.2.2) – Peer review guide & INTOSAI CBC – Subcommittee (Slovakia)
  Representative of Slovakia could not attend the meeting. Series of presentations is attached to the Summary. (item 19)

II.4. Independence

- Task 25 (1.4.1.3) – SAI PMF pilots, ethical and other integrity based self-assessment tools (Portugal)
  Presentation is attached to the summary.
  (item 20)
- Task 28 (1.4.2.3) – Delivering the message (Turkey, Latvia, Hungary)
  This task was divided into three parts that are built on each other as follows:
    1. What does "delivering the message" mean?
    2. To whom SAI messages (as defined under point 1.) should be delivered?
    3. How SAI messages (as defined under point 1.) could be delivered to affected parties (as defined under point 2.)?
  Responsible SAIs: Step 1 – Turkey
                      Step 2 – Hungary
                      Step 3 – Latvia
  Representative of Turkey presented on step 1. Presentation is attached to Summary. (item 21&22)
- Task 29 (1.4.2.4) – Open data (the Netherlands)
  Presentation is attached to the summary. (item 23)

III.   Conclusions

III.1. Operational plan (Chair)

Operational plan is attached to the summary. Chair called the attention to the changes below:

- renumbering due to merge of Task 24 into Task 25 (initiated by SAI of Austria)
- renumbering due to move of Delivering the message from subcategory of Independence to Need and innovation
- slight changes in several tasks indicated in the attached operational plan.
- members are asked to give their changes not later than 26 November, 2016, then the Operational plan will be circulated again

Chair asked representative of Portugal to word a proposal (task, expected outcome, responsible country) to Operational plan regarding certification of accounts and send it to the Chair and Secretariat. Chair is going to circulate it among members for approval.

III.2. Work schedule, date and venue of the next meeting (Chair)

Statement of decision is to be circulated in November, 2015.

Next meetings are planned to be held February or March, 2016 and September or October, 2016.

SAO is looking for volunteers to host the 9th and the 10th meeting.

III.3. Conclusions and closing of the meeting (Chair)

Attachments:
as indicated in the Summary from item 1 to item 23

Attachments are available for members and observers of GT1.