

**Current Operational Plan – 8<sup>th</sup> meeting, October 29-30, 2015 - After the meeting version**

GT1's Operational Plan

Strategic goal	Key projects	Simplified n°	Official n°	Task	Expected outcomes	Country	Year	Notes
1.1 Establish conditions ensuring maximum use of available data, products and experience of others engaged in the field of capacity building	<u>1.1.1 Promote the use of relevant materials and information</u> available on new professional developments in the field (e.g. developed by INTOSAI, IDI, or Regional Working Groups) to meet the needs of EUROSAI members	<b>1</b>	1.1.1.1	Identify relevant information available on the websites of international organizations and propose a typology/map ; Compose all the collected information into a database and evaluate its homogeneity and usefulness (in liaison with GT2)	Complete the first draft list with link towards CBC and other regional groups' CBC	Latvia	2013	Finished and published
		<b>2</b>	1.1.1.2	Promote the use of the database through a permanent watch to alert the group on new interesting documents	Make a proposal on a watch/warning system to identify new doc and promote their dissemination, e-booklet in 2017	Latvia	/	Permanent watch
	<u>1.1.2 Facilitate INTOSAI Donor Cooperation in Europe in consultation with the INTOSAI Donor</u>	<b>3</b>	1.1.2.1	Keeping in touch with IDI and INTOSAI Donors notably financing possibilities	Permanent liaison	Spain (EUROSAI Secretariat liaison)	/	Permanent watch
		<b>4</b>	1.1.2.2	Support SAIs to prepare proposals for funding	Develop ideas on how to liaise with IDI in order to help for the next workshops organised within the framework of the Global call of proposals	Spain	/	Permanent watch

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	<u>Steering Committee</u>	<b>5</b>	1.1.2.3	Promote knowledge of IDI tools, notably the Capacity development database, the new call of proposals and PMF	Identify possible ways of IDI offering training seminars (for ISSAI facilitators, for help to draft proposals etc.) within non eligible SAIS on a cost-recovery basis	France	/	Permanent watch <i>(new mandate of IDI including the idea to “increase the outreach of its activities by providing CB support on cost-recovery basis”)</i>
	<u>1.1.3 Identify examples of regional good practices in capacity building within EUROSAI and ensure that these are communicated to INTOSAI, particularly the CBC, for wider sharing</u>	<b>6</b>	1.1.3.1	Identify good practices in the capacity building relevant area from EUROSAI SAIs, disseminating and raising awareness among the EUROSAI members of the good practices identified and ensure that these are communicated to INTOSAI	<p>Make a first draft paper on EUROSAI GT1’s success stories about CB Organise a workshop in The Hague 2014</p> <p>Identification of SAIs that certify/gives opinion on the entity-based financial statements and/or consolidated financial statements of General Government/Central Government State Account</p> <p>Booklet with benchmark analysis</p>	<p>Sweden</p> <p>Portugal, Turkey</p> <p>Portugal, Turkey</p>	<p>2014</p> <p>2016</p> <p>2017</p>	<p><i>Completed in 2014 Available Spring 2014</i></p> <p>Finished / <u>Available on GT1 website</u></p>

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		<b>7</b>	1.1.3.2	Inform the EUROSAI members about the Best Practices on EUROSAI Audit Quality database operated by State Audit Office of Hungary ( <a href="http://www.asz.hu/en/good-practices">http://www.asz.hu/en/good-practices</a> ), and invite them to share some examples of their good practices especially in connection with capacity building.	<p>New e-mail to all EUROSAI members underlining the existence, functioning and usefulness of the database</p> <p>Coordination with UK initiative on innovation</p> <p>Identification of the good practices on ethics guidance, management and control done in cooperation with the TFA&amp;E.</p> <p>Authorizations and / or commitment to introduce data in the Audit Quality database obtained through the TFA&amp;E</p> <p>Permanent feeding and update of the database on audit quality obtained</p>	<p>Hungary</p> <p>Hungary Portugal</p> <p>Hungary Portugal</p> <p>Hungary Portugal</p>	<p>/</p> <p>2016</p> <p>2016</p> <p>≠</p>	<p>Permanent watch</p> <p>Permanent watch</p>

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		<b>8</b>	1.1.3.3	Define a scheme on the strategic articulation between CB success factors	Building on CB success stories paper, imagine a scheme/map	France	2014	<i>Draft 2<sup>nd</sup> semester 2014</i> Finished
	<u>1.1.4 Capture and feedback to EUROSAI members examples of good practice emerging from the work of INTOSAI CBC and other capacity bodies of INTOSAI</u>	<b>9</b>	1.1.4.1	Monitor the development of the Performance Measurement Framework, carried out by INTOSAI WGVBS/IDI	Report to the next meeting on the PMF process and on how EUROSAI specifically can provide input into it	Portugal	/	Permanent watch until 2016 (INCOSAI)
		<b>10</b>	1.1.4.2	Establish cooperation with INTOSAI regions within the framework of the INTOSAI CBC, for the purpose of promoting exchange of experience and collaboration	GT1 participates regularly in meetings and discussions between regions, initiated by the CBC, and engages in cooperation or exchange of experience with other INTOSAI regions.	Sweden Hungary	/	Permanent watch
		<b>11</b>	1.1.4.3	Participate in the seminar to be organized by INTOSAI CBC Chair, next Autumn, for current and potential SAI technical support providers	Sweden, UK and France attended the SAI Supply side seminar. France and UK reported to GT1	Sweden	2012	Completed in 2012 Finished
		<b>12</b>	1.1.4.4	Permanent liaison with IDI and CBC works	Liaison with IDI CBC	Sweden	/	Permanent watch

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1.2 Establish the operational framework for facilitating capacity building development	<u>1.2.1 Collect information and identify capacity building needs of EUROSAI members, including curriculums and models for professional qualifications of staff, and taking into account information from available sources (e.g. IDI)</u>	<b>13</b>	1.2.1.1	Building on the existing information collected by IDI Global Survey raw data to identify the possible needs and gaps within EUROSAI	Identify needs and gaps building on the IDI Global Survey	Georgia	2017	Report on this issue can be made using the answers given to IDI Global Survey before the Xth Congress
	<u>1.2.2 Identify groups of SAIs which share interest in specific aspects of capacity building taking into account information from available sources</u>	<b>14</b>	1.2.2.1	Analyze and club SAIs with similar capacity levels, identify weak and strong areas of individual SAIs and spot common challenges	Building on the identified gaps and need, propose a method for clubbing or associating similar capacity level providers or receivers	Georgia	2017	Report on this issue can be made using the answers given to IDI Global Survey before the Xth Congress

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	<p><u>1.2.3 Make use of the opportunities offered by information technology:</u> support e-learning capacity of member SAIs, explore potential for electronic network of SAI training, develop capacity for interactive information exchange (realtime/online), e.g. by means of expert blogs and forums or teleconferences, promote the use of EUROSAI website, use INTOSAI/EUROSAI electronic communication tools e.g. INTOSAI Collaboration Tool</p>	<b>15</b>	1.2.3.1	Work with EUROSAI members to identify what modules already exist on e-learning within SAIs, IDI (putting aside technical aspects)	Report to GT1 group on this first identification of the existing tools' task and propose a way forward and promote the use of IDI E-Learning modules	Romania	2015	2015  Extend the survey outside GT1 to all EUROSAI countries and IDI
		<b>16</b>	1.2.3.2	Transmit to GT4 EUROSAI Team ideas on website	Report to GT1 group on progress made on the website design	All GT1 members coordinator: Romania	/	Permanent watch
		<b>17</b>	1.2.3.3	Collection, elaboration, uploading of GT1 information on the new EUROSAI website	Upload new operational plan and GT1 section update, news and calendar	Chair	/	Permanent watch
		<b>18</b>	1.2.3.4.	Ensure the raising awareness on relevance, role and opportunities and needs of SAIs, as well as practical help on how to proceed with open data	Regular report to GT1 Meeting on the new developments	the Netherlands, Hungary	/	Permanent watch  (recategorized from Independence)

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	<u>1.2.4 Promote innovation in EUROSAI</u>	<b>19</b>	1.2.4.1	Ask to all SAIs to list up to 3 things they have done which they believe to be innovative to produce an annual note capturing European SAI self-reported innovations	Disseminate the result of the short survey throughout GT1 and EUROSAI	United Kingdom	2015 2016	Volume 1 & 2& 3 are published <u>Available on GT1 website</u>  Volume 4 is planned in 2016
		<b>20</b>	1.2.4.2	New ideas on promotion of innovative approaches	Introducing good examples related to municipality audit (e.g., CLF method or other issues) via trainings/seminars that answer the main message (Innovation) of the IX EUROSAI Congress	Lithuania, Hungary	2016	2015 - 2016  Seminar in Warsaw – October 2015  Seminar planned: Vilnius – second half of 2016
1.3 Facilitate and support the	<u>1.3.1 Strengthen the coordination and promotion of development cooperation with specific focus on the EUROSAI members who are most in need including bilateral support to member SAIs</u>	<b>21</b>	1.3.1.1	Ensuring a correct articulation with GT3 works on training needs	Collect information from GT3 and transmit information to GT3 on training needs	Portugal	/	Permanent watch

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development of strategies for capacity building at SAI level	<u>1.3.2 Encourage SAIs wishing to undergo peer review to rely on the INTOSAI CBC Peer Review Framework</u>	<b>22</b>	1.3.2.1	Contribute to disseminate the Peer Review Guide of INTOSAI to all EUROSAI members, in cooperation with Subcommittee 3 – on Peer Reviews INTOSAI CBC	Done	Slovakia	2012	<i>Completed in 2012</i>
		<b>23</b>	1.3.2.2	Being actively involved in Subcommittee 3 – on Peer Reviews INTOSAI CBC to analyse the usefulness of the Guide for the European SAIs and provide inputs and feedbacks	Regularly report to GT1 on progress made by CBC Subcommittee	Slovakia	/	Permanent watch
1.4 Take active steps to strengthen and support SAI independence	<u>1.4.1 Encourage periodic self-assessment for compliance with the provisions of the Declarations of Lima and Mexico on SAIs' independence using available tools e.g. from INTOSAI Working Group on Values and Benefits</u>	<b>24</b>	1.4.1.1	Identify and collect all possible criteria and results from past surveys (IDI, Sweden...) on independence, circulating a further questionnaire to get an overview of the status and needs in the EUROSAI community	Circulating the draft questionnaire and analyzing it  Checking the match with PMF's criteria	Hungary + France	2013	Completed in 2013  Finished  <u>Available on GT1 website</u>
		<b>25</b>	1.4.1.3	Promote the use of SAI PMF pilots, ethical and other integrity based self-assessment tools by informing EUROSAI members about it (such as disseminate information, operating website, workshop)	Report to the next GT1 meeting on the evolution and way forward	The Netherlands  Hungary  Portugal	/	Permanent watch  ISSAI 30 is under review
	<u>1.4.2 Promote and organise joint activities with national and/or</u>	<b>26</b>	1.4.2.1	Encourage dissemination/implementation of the EUROSAI Statement of independence of SAIs, the UN General Assembly Resolutions (adopted in 2011	Partly done : for ex Spain for Governing Board, Austria in INTOSAI donors –steering	Austria	/	Permanent watch



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	<u>regional stakeholders to raise awareness of the value and benefits of SAIs, their role and of the importance of SAIs' independence</u>			and 2014) referring to the work of INTOSAI and the Lima and Mexico Declarations within the EUROSAI Community, according to the background information collected under task 1	committee, France in AISCCUF seminar (Libreville) Regularly report on new developments			
		<b>27</b>	1.4.2.2	Value the diversity of SAI models and independence by creating a booklet + hosting a workshop where each member explains the value and benefits of different EUROSAI models	Findings analysis and booklet compilation Seminar in 2014	Hungary	2014	Completed in 2014 Finished
		<b>28</b>	1.4.2.3.	Developing possible activities to ensure that all EUROSAI members have access to new methods and techniques that may help them in 'delivering their message'	To be discussed during the 8 <sup>th</sup> meeting	Latvia Turkey	2017	2017 Booklet / Seminar (workshop)